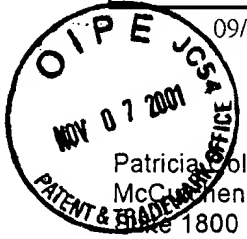




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,874	07/30/2001	Seth Marder	21182-7067



Patricia Coleman James
McCormick, Doyle, Brown & Enersen, LLP
Suite 1800
Three Embarcadero Center
San Francisco, CA 94111

CONFIRMATION NO. 3252

FORMALITIES LETTER



OC000000006862582

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/11/2001 AWONDAF1 00000161 501193 09918874

01 FC:205 65.00 CH

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In The United States Patent and Trademark Office

re Application of:

Assignee: California Institute of Technology
Inventors: Seth Marder, et al.
Application No: 09/918,874
Filed: 30 July 2001
For: TWO PHOTON OR
HIGHER-ORDER
ABSORBING OPTICAL
MATERIALS AND
METHODS OF USE

Group Art Unit: 1712

Examiner:

**RESPONSE TO NOTICE TO FILE
MISSING PARTS MAILED OCTOBER 9,
2001**

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date
shown below, being:

MAILING

☒ deposited with the United States Postal Service
Express Mail on the date indicated below in an envelope
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Date: November 7, 2001

Name of person certifying: Terri M. Macek

Terri M. Macek
Signature

Box Missing Parts
Assistant Commissioner
for Patents
Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing parts, mailed October 9, 2001, Applicants
hereby submit a COPY of the Declaration executed in the Application No. 08/965945, the
parent case of the subject divisional application.

The Commissioner is authorized to debit Deposit Account No 50-1193 for the \$65.00
fee.

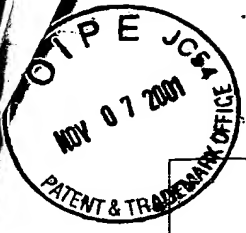
Date: November 7, 2001

Respectfully submitted,

By: Patricia Coleman James
Patricia Coleman James
Registration No. 37,155

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center, 18th Floor
San Francisco, California 94111
Telephone: (415) 393-2000
Telefax: (415) 393-2286

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12-07-01

Section 12/17

Docket No. 21182-7067

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

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[X] deposited with the United States Postal Service Express Mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 Express Mail No. EL828018224 US.

Dated: November 7, 2001

Name of Person Certifying: Terri Macek
Printed Name: Terri Macek

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MARDER, et al. Assignee: California Institute of Technology
Filing Date: 30 July 2001 Examiner:
Serial No.: 09/918,874 Group Art Unit: 1712
Title: TWO PHOTON OR HIGHER-ORDER ABSORBING OPTICAL MATERIALS AND METHODS OF USE

Commissioner for Patents
Washington, D.C. 20231

RESPONSE & FEE TRANSMITTAL

Sir:

In response to the Office Action mailed on October 9, 2001, enclosed herewith for filing are the following:

- ☒ A Response/Amendment [1] pages
- ☐ A Response to Restriction Requirement under 35 USC § 121 [] page(s)
- ☐ An Amendment Under 37 CFR § 1.111 [] page(s)
- ☐ An Amendment Under 37 CFR § 1.116 [] page(s)
- ☐ Other: Also included are:
- ☐ A Petition for Extension of Time [] months [] pages
- ☐ Information Disclosure Statement
- ☐ [] page(s) of PTO-1449 [] copies of IDS citations
- ☐ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Other: Declaration in compliance with 37 CFR 1.63
Copy of Notice to Reply to Missing Parts
- ☒ Return Postcard

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Fee Calculation						
<input checked="" type="checkbox"/> The following fees are submitted:						CALCULATIONS
EXTRA CLAIMS FEE				OTHER THAN SMALL	SMALL ENTITY	\$
CLAIMS	CURRENT #	# OF CLAIMS PREVIOUSLY PAID	# EXTRA	RATE	RATE	
Total Claims				× \$18.00	× \$9.00	\$
Independent claims				× \$80.00	× \$40.00	\$
MULTIPLE DEPENDENT CLAIM(S)						
<input type="checkbox"/> Yes <input type="checkbox"/> No				\$270.00	\$135.00	\$
Petition for Extension of Time Fee (1 month)						\$
OTHER FEES: Response to Notice to File Missing Parts (specify)						\$ 65.00
TOTAL FEES =						\$ 65.00

- ☐ **Conditional Petition for Extension of Time:** An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- ☒ **Please charge Deposit Account No 50-1193, in the amount of \$ 65.00 to cover the above-fees. A duplicate copy of this sheet is enclosed.**
- ☒ **The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193. A duplicate copy of this sheet is enclosed.**

DATE: November 7, 2001

Respectfully submitted,

By Patricia Coleman James
 Patricia Coleman James
 Registration No.: 37,155

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